

04-16-01

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/766,278	01/19/2001	Charles A. Jennings	389176

CONFIRMATION NO. 9674

FORMALITIES LETTER



OC000000005822452

James M. Stipek
 Suite 2800
 2345 Grand Boulevard
 Kansas City, MO 64108

Date Mailed: 03/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

A copy of this notice MUST be returned with the reply.

[Signature]
 Customer Service Center
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/19/2001 AGOITOM 00000055 09766278

01 FC:205

65.00 OP



73

PATENT

Atty Docket No. 389176
Express Mail Label No. EL 578977398US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jennings, et al.

Serial No.: 09/766,278

Group No.: 2152

Filed: January 19, 2001

Examiner:

For: System and Method for Managing Media

**Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231**

TRANSMITTAL

Attached please find the following:

- A copy of the Notice to File Missing Parts of Nonprovisional Application
- Combined Declaration and Power of Attorney
- Recordation Form Cover Sheet
- Assignment
- Power of Attorney By Assignee of Entire Interest and Certificate of Assignee Under 37 C.F.R. 3.73(b) (with a copy of the assignment)
- Check in the amount of \$40.00 (Assignment Recordation Fee); and check in the amount of \$65.00 for the Missing Parts Surcharge
- The Commissioner is hereby authorized to charge any additional fees which may be required to Deposit Account No. 12-0600.

A duplicate of this request is attached.

CERTIFICATE UNDER 37 C.F.R. § 1.8 and § 1.10

I hereby certify that, on the date shown below, this correspondence is being

- deposited with the United States Postal Service in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 with sufficient postage:

MAILING

- 37 C.F.R. § 1.8**
 as first class mail.

- 37 C.F.R. § 1.10**
 as "Express Mail Post Office to Addressee"
EXPRESS MAIL NO. EL578977398US

FACSIMILE TRANSMISSION

- transmitted by facsimile to the Patent and Trademark Office.

Wendy Precht
Name of Depositor

Signature

April 16, 2001
Date

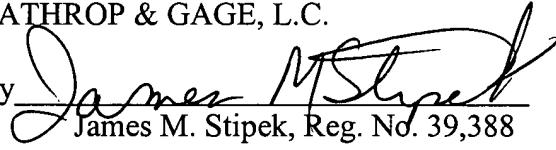
PATENT

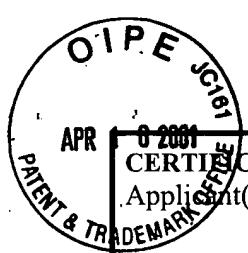
Atty Docket No. 389176
Express Mail Label No. EL 578977398US

Respectfully submitted,

LATHROP & GAGE, L.C.

By


James M. Stipek, Reg. No. 39,388
2345 Grand Boulevard, Suite 2800
Kansas City, Missouri 64108
Tel: (816) 460-5848
Fax: (816) 292-2001



CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Jennings, et al.			Matter No. 389176
--	--	--	----------------------

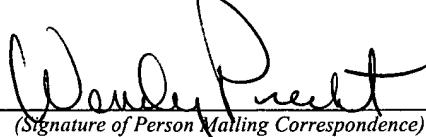
Serial No.	Filing Date	Examiner	Group No.
09/766,278	January 19, 2001	Not Yet Assigned	2152

Invention: System and Method for Specification and Exchange Management

I hereby certify that a Transmittal (2 pages, in duplicate); a copy of the Notice to File Missing Parts of Nonprovisional Application (PTO Notice) (1 page); a Combined Declaration and Power of Attorney (5 pages); a Recordation Form Cover Sheet (1 page); an Assignment (4 pages); a Power of Attorney By Assignee of Entire Interest and Certificate of Assignee Under 37 C.F.R. 3.73(b) (2 pages, with a copy of the assignment attached); a check in the amount of \$105.00 (total of \$40.00 for the Assignment Recordation Fee and \$65.00 for the missing parts surcharge); authorization to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 12-0600; a Certificate of Mailing with the United States Postal Service, "Express Mail Post Office to Addressee," Express Mail No. EL578977398US; and a pre-addressed postcard are being mailed in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on this 16th day of April, 2001.

Wendy Precht

(Typed or Printed Name of person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

Express Mail No. EL578977398US

(“Express Mail” Mailing Label Number)